

OFFICIAL

Attorney Docket VT-1869

PATENT
*A 25/04
4/18/03*IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.: 09/484,799

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, TC 1700, Fax No. (703) 872-9310, on April 4, 2003.

Filing Date: 01/18/00


Michael D. Ross
Registration No. 45,057

Applicant: Barker et al.

Group Art Unit: 1745

Examiner: Chaney, C.

Title: LITHIUM-BASED ACTIVE MATERIALS AND PREPARATION THEREOF

Commissioner for Patents
Washington, D.C. 20231*FAX RECEIVED
4-18-03 2003
GROUP 1700*AMENDMENT UNDER 37 C.F.R. §1.116

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 220100.

In response to the Office Action mailed February 27, 2003 and in furtherance of the personal interview conducted on March 31, 2003, please amend the Application as indicated herein and please consider the accompanying remarks toward reconsideration of the present application and passage to allowance thereof.

U.S. Patent and Trademark Office

PTO/SB/21 (08-00)

Please type a plus sign (+) Inside this box →

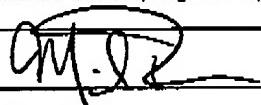
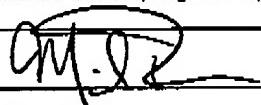
Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 09/484,799
		Filing Date 1/18/00
		First Named Inventor Barker
		Group Art Unit 1745
		Examiner Name Chaney, C.
Total Number of Pages in This Submission 18		Attorney Docket Number VT-1869

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Transmission under 37 CFR 1.8. <i>RECEIVED APR 01 2003 COURT CLERK'S OFFICE</i>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael D. Ross, Reg. No. 45,057 
Signature	
Date	April 4, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (10-02)

Approved for use through 10/31/2002, OMB 0851-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 36.00

Application Number	09/484,799
Filing Date	1/18/03
First Named Inventor	Barker
Examiner Name	Chauhan, C.
Group Art Unit	1745
Attorney Docket No.	VT-1269

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account

Deposit Account Number 220100
Deposit Account Name Valence Technology, Inc.

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASE FILING FEE

Large Entity Small Entity

Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	
1001 740	2001 370		Utility filing fee	
1002 330	2002 165		Design filing fee	
1003 510	2003 255		Plant filing fee	
1004 740	2004 370		Reissue filing fee	
1005 160	2005 80		Provisional filing fee	

SUBTOTAL (1) (\$)

0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee	Fee Paid
- 20 = 2	x 18.00 =	36.00	
Ind. Claims	- 3 =	x =	
Multiple Dependent		=	

Large Entity Small Entity

Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)
1202 18	2202 9		Claims in excess of 20
1201 84	2201 42		Independent claims in excess of
1203 280	2203 140		Multiple dependent claim, if not paid
1204 84	2204 42		**Reissue independent claims
1205 18	2205 9		over original patent
			**Reissue claims in excess of 20
			and over original patent

SUBTOTAL (2) (\$)

36.00

* or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

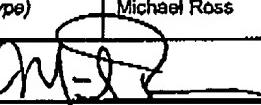
Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code (\$)	Code (\$)	Code (\$)
1051 740	2051 370	Surcharge - late filing fee or oath
1052 330	2052 165	Surcharge - late provisional filing fee or cover sheet
1053 510	1053 255	Plant filing fee
1812 740	1812 370	Reissue filing fee
1804 160	1804 80	Provisional filing fee
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251 110	2251 55	Extension for reply within first month
1252 400	2252 200	Extension for reply within second month
1253 920	2253 460	Extension for reply within third month
1254 1,440	2254 720	Extension for reply within fourth month
1255 1,980	2255 980	Extension for reply within fifth month
1401 320	2401 160	Notice of Appeal
1402 320	2402 160	Filing a brief in support of an appeal
1403 280	2403 140	Request for oral hearing
1451 1,510	1451 1,510	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,280	2453 640	Petition to revive - unintentional
1501 1,280	2501 640	Utility issue fee (or reissue)
1502 460	2502 230	Design issue fee
1503 620	2503 310	Plant issue fee
1460 130	1460 130	Petitions to the Commissioner
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)
1806 180	1806 180	Submission of Information Disclosure Stmt
8021 40	8021 40	Recording each patent assignment per property (times number of properties)
1809 740	2809 370	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 740	2810 370	For each additional invention to be examined (37 CFR § 1.129(b))
1801 740	2801 370	Request for Continued Examination (RCE)
1802 900	1802 900	Request for expedited examination or a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

Name (Print/Type)	Michael Ross	Registration No. (Attorney/Agent)	45,057	Telephone	702-558-1071
Signature				Date	4/4/2003

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APR 07 2003

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